

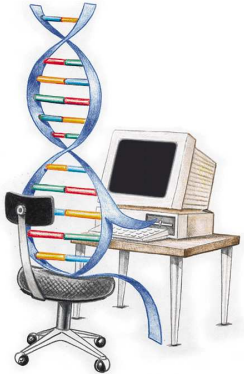
Lecture 7: Genetic Algorithms

Cognitive Systems II - Machine Learning
SS 2005

Part II: Special Aspects of Concept Learning

**Genetic Algorithms, Genetic Programming,
Models of Evolution**

Motivation



- learning methods is motivated by analogy to biological evolution
- rather than search from general-to-specific or from simple-to-complex, genetic algorithms generate successor hypotheses by repeatedly mutating and recombining parts of the best currently known hypotheses
- at each step, a collection of hypotheses, called the current population, is updated by replacing some fraction by offspring of the most fit current hypotheses

Motivation

- reasons for popularity
 - evolution is known to be a successful, robust method for adaption within biological systems
 - genetic algorithms can search spaces of hypotheses containing complex interacting parts, where the impact of each part on overall hypothesis fitness may be difficult to model
 - genetic algorithms are easily parallelized
- genetic programming \approx entire computer programs are evolved to certain fitness criteria
- evolutionary computation = genetic algorithms + genetic programming

Genetic Algorithms

- **problem:** search a space of candidate hypotheses to identify the best hypothesis
- the best hypothesis is defined as the one that optimizes a predefined numerical measure, called **fitness**
 - e.g. if the task is to learn a strategy for playing chess, fitness could be defined as the number of games won by the individual when playing against other individuals in the current population
- **basic structure:**
 - iteratively updating a pool of hypotheses (**population**)
 - on each iteration
 - hypotheses are evaluated according to the **fitness function**
 - a new population is generated by selecting the most fit individuals
 - some are carried forward, others are used for creating new offspring individuals

Genetic Algorithms

GA(*Fitness*, *Fitness_threshold*, *p*, *r*, *m*)

Fitness: fitness function, *Fitness_threshold*: termination criterion,

p: number of hypotheses in the population, *r*: fraction to be replaced by crossover,

m: mutation rate

- Initialize population: $P \leftarrow$ Generate p hypotheses at random
- Evaluate: For each h in P , compute $Fitness(h)$
- While $[\max_h Fitness(h)] < Fitness_threshold$, Do
 1. **Select:** Probabilistically select $(1 - r) \cdot p$ members of P to add to P_S
 2. **Crossover:** Probabilistically select $\frac{r \cdot p}{2}$ pairs of hypotheses from P . For each pair $\langle h_1, h_2 \rangle$ produce two offspring and add to P_S
 3. **Mutate:** Choose m percent of the members of P_S with uniform probability. For each, invert one randomly selected bit
 4. **Update:** $P \leftarrow P_S$
 5. **Evaluate:** for each $h \in P$, compute $Fitness(h)$
- Return the hypothesis from P that has the highest fitness.

Remarks

- as specified above, each population P contains p hypotheses
 - $(1 - r) \cdot p$ hypotheses
 - are selected and added to P_S without changing
 - the selection is probabilistically
 - the probability is given by $Pr(h_i) = \frac{Fitness(h_i)}{\sum_{j=1}^p Fitness(h_j)}$
 - $\frac{r \cdot p}{2}$ pairs of hypotheses
 - are selected and added to P_S after applying the crossover operator
 - the selection is also probabilistically
- $\Rightarrow (1 - r) \cdot p + 2 \cdot \frac{r \cdot p}{2} = p$ where $r + (1 - r) = 1$

Representing Hypotheses

- hypotheses are often represented as **bit strings** so that they can easily be modified by genetic operators
- represented hypotheses can be quite complex
- each attribute can be represented as a substring with as many positions as there are possible values
- to obtain a fixed-length bit string, each attribute has to be considered, even in the most general case
 - $(Outlook = Overcast \vee Rain) \wedge (Wind = Strong)$
 - is represented as: *Outlook* 011, *Wind* 10 \Rightarrow 01110

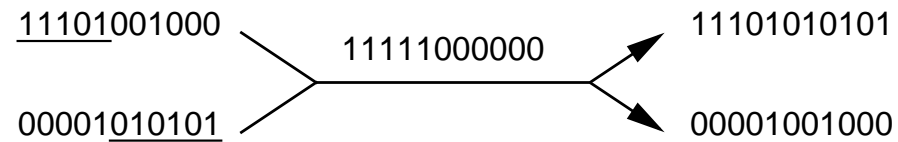
Genetic Operators

- generation of successors is determined by a set of operators that recombine and mutate selected members of the current population
- operators correspond to idealized versions of the genetic operations found in biological evolution
- the two most common operators are **crossover** and **mutation**

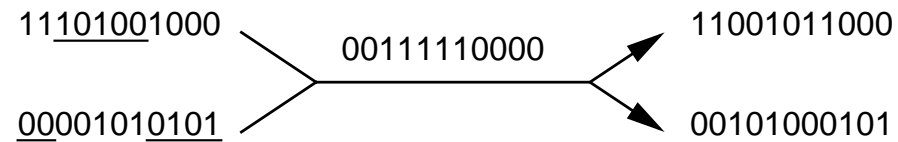
Genetic Operators

Initial strings *Crossover Mask* *Offspring*

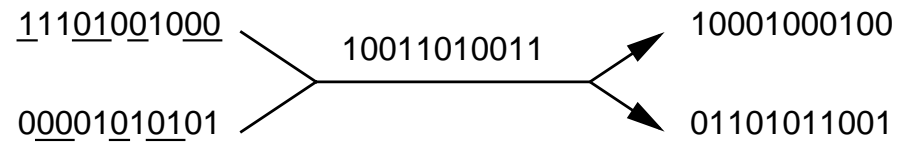
Single-point crossover:



Two-point crossover:



Uniform crossover:



Point mutation:



Genetic Operators

● **Crossover:**

- produces two new offspring from two parent strings by copying selected bits from each parent
- bit at position i in each offspring is copied from the bit at position i in one of the two parents
- choice which parent contributes bit i is determined by an additional string, called **cross-over mask**
 - **single-point crossover:** e.g. 11111000000
 - **two-point crossover:** e.g. 00111110000
 - **uniform crossover:** e.g. 01100110101

● **mutation:** produces bitwise random changes

Illustrative Example (GABIL)

- GABIL learns boolean concepts represented by a disjunctive set of propositional rules
 - **Representation:**
 - each hypothesis is encoded as shown above
 - hypothesis space of rule preconditions consists of a conjunction of constraints on a fixed set of attributes
 - sets of rules are represented by concatenation
 - e.g. a_1, a_2 boolean attributes, c target attribute
 - IF $a_1 = T \wedge a_2 = F$ THEN $c = T$;
 - IF $a_2 = T$ THEN $c = F$
- \Rightarrow 10 01 1 11 10 0

Illustrative Example (GABIL)

● Genetic Operators:

- uses standard mutation operator
- crossover operator is a two-point crossover to manage variable-length rules

● Fitness function:

- $Fitness(h) = (correct(h))^2$
- based on classification accuracy where $correct(h)$ is the percent of all training examples correctly classified by hypothesis h

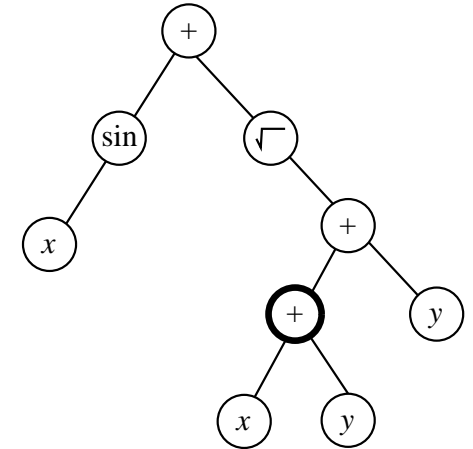
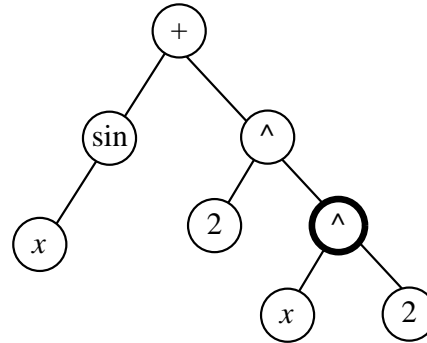
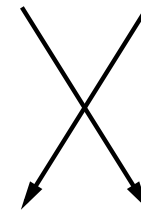
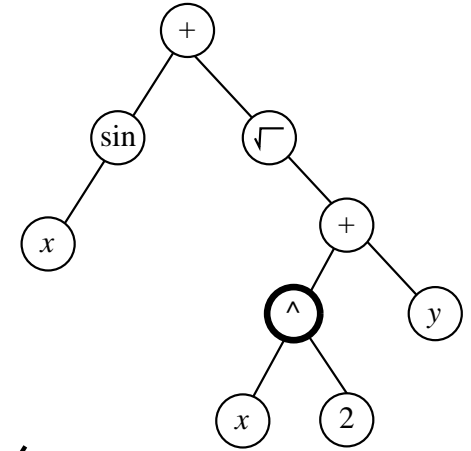
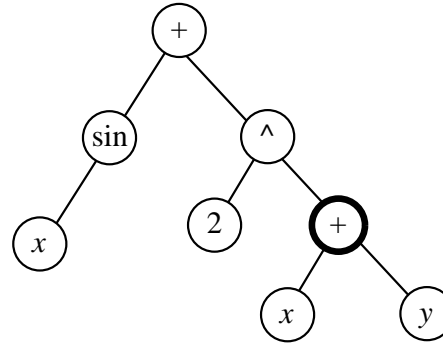
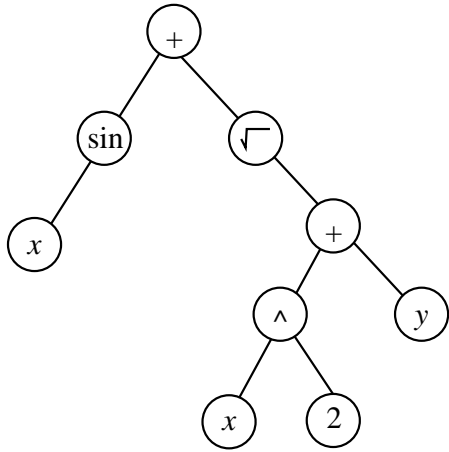
Hypothesis Space Search

- method is quite different from other methods presented so far
- neither general-to-specific nor simple-to-complex search is performed
- genetic algorithms can move **very abruptly**, replacing a parent hypothesis by an offspring which is radically different
- so this method is less likely to fall into some local minimum
- practical difficulty: **crowding**
 - some individuals that fit better than others reproduce quickly, so that copies and very similar offspring take over a large fraction of the population
 - ⇒ reduced diversity of population
 - ⇒ slower progress of the genetic algorithms

Genetic Programming

- individuals in the evolving population are computer programs rather than bit strings
- has shown good results, despite vast H
- **representing programs**
 - typical representations correspond to parse trees
 - each function call is a node
 - arguments are the descendants
 - fitness is determined by executing the program on the training data
 - crossover are performed by replacing a randomly chosen subtree between parents

Genetic Programming



Models of Evolution and Learning

- **observations:**
 - individual organisms learn to adapt significantly during their lifetime
 - biological and social processes allow a species to adapt over a time frame of many generations
- interesting question: What is the relationship between learning during lifetime of a single individual and species-level learning afforded by evolution?

Models of Evolution and Learning

● **Lamarckian Evolution:**

- proposition that evolution over many generations was directly influenced by the experiences of individual organisms during their lifetime
- direct influence of the genetic makeup of the offspring
- completely contradicted by science
- Lamarckian processes can sometimes improve the effectiveness of genetic algorithms

● **Baldwin Effect:**

- a species in a changing environment underlies evolutionary pressure that favors individuals with the ability to learn
 - such individuals perform a small local search to maximize their fitness
 - additionally, such individuals rely less on genetic code
 - thus, they support a more diverse gene pool, relying on individual learning to overcome “missing” or “not quite well” traits
- ⇒ indirect influence of evolutionary adaption for the entire population

Summary

- method for concept learning based on simulated evolution
- evolution of populations is simulated by taking the most fit individuals over to a new generation
- some individuals remain unchanged, others are the base for genetic operator application
- hypotheses are commonly represented as bitstrings
- search through the hypothesis space cannot be characterized, because hypotheses are created by crossover and mutation operators that allow radical changes between successive generations
- hence, convergence is not guaranteed